

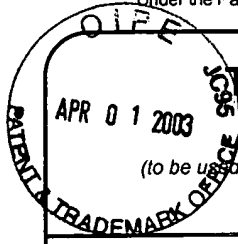
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PTO/SB/21 (AW)(03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number **09/524,587**
Filing Date **March 13, 2000**
First Named Inventor **S. Manabe et al.**
Art Unit **2775**
Examiner Name **To Be Assigned**
Attorney Docket No. **MAT-7927US**

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ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
PTO Form 1449, copy of Japanese Official Action and 2 references

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual **Lawrence E. Ashery**
Signature
Date **March 27, 2003**

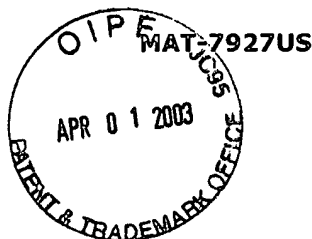
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Name (Print/Type) **Lawrence E. Ashery** Registration No. (Attorney/Agent) **34,515**
Signature Date **March 27, 2003**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. Manabe et al. : Art Unit: 2775
Serial No.: 09/524,587 : Examiner: To Be Assigned
Filed: March 13, 2000 :
FOR: SURFACE LIGHTING DEVICE AND :
PORTABLE TERMINAL USING THE :
SAME :

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SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 10-199318
- 2) 10-293213

References 1) and 2)) include English language abstracts and were cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Action, indicating the degree of relevance determined by the foreign patent office

is submitted herewith. MPEP 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/fp

Enclosures: PTO Form 1449
Copies of (2) References
Copy of Japanese Office Action for JP 10-317397

Dated: March 27, 2003

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(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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